A separate Transparency of Foreign Disclosures Form must be completed for the recipient and each subrecipient covered by the RFPI proposal. This form must be completed in its entirety, even if the recipient or subrecipient does not have any foreign relationships. If the requested information is not relevant or does not apply, enter "N/A" or "None".

*The DOE reserves the right to request additional or clarifying information based its risk review procedures. Should DOE determine the connection poses a risk to economic or national security, DOE will require measures to mitigate or eliminate the risk.*

**Section A. Identifying Information**

**Entity Name:** Click or tap here to enter Entity name.

**Entity Website:** Click or tap here to enter Entity website.

**Entity Address:** Click or tap here to enter Entity address.

**Section B. Disclosure Information**

U.S. National Laboratories, domestic government entities, and institutions of higher education are only required to report on items 6 and 11. All other entities are required to report items 1 through 12.

1. Any current or pending subsidiary, foreign business entity, or offshore entity that is based in or funded by any foreign country of risk or foreign entity based in a country of risk[[1]](#footnote-2).

Click or tap here to enter text.

1. Any current or pending contractual or financial obligation or other agreement specific to a business arrangement, or joint venture-like arrangement with an entity owned by a country of risk or foreign entity based in a country of risk.

Click or tap here to enter text.

1. Any current or pending change in ownership structure of the recipient or subrecipients that increases foreign ownership related to a country of risk. Each notification shall be accompanied by a complete and up-to-date capitalization table showing all equity interests held including limited liability company (LLC) and partnership interests, as well as derivative securities. Include both the number of shares issued to each equity holder, as well as the percentage of that series and of all equity on fully diluted basis. For each equity holder, provide the place of incorporation and the principal place of business, as applicable. If the equity holder is a natural person, identify the citizenship(s).

Click or tap here to enter text.

1. Any current or pending venture capital or institutional investment by an entity that has a general partner or individual holding a leadership role in such entity who has a foreign affiliation with any foreign country of risk.

Click or tap here to enter text.

1. Any current or pending technology licensing, transfer or intellectual property sales to a foreign country of risk within the same technology area as the award (e.g., biotechnology, energy generation and storage, advanced computing.

Click or tap here to enter text.

1. Any changes to the recipient or the subrecipients’ board of directors, including additions to the number of directors, the identity of new directors, as well as each new director’s citizenship, shareholder affiliation (if applicable); each notification shall include a complete up-to-date list of all directors (and board observers), including their full name, citizenship and shareholder affiliation, date of appointment, duration of term, as well as a description of observer rights as applicable.

Click or tap here to enter text.

1. Any of the following changes to the equipment proposed for use on the project:
2. Unmanned aircraft, control, and communication components originally made or manufactured in a foreign country of risk (including relabeled or rebranded equipment).
3. Coded equipment where the source code is written in a foreign country of risk.
4. Equipment from a foreign country of risk that will be connected to the internet or other remote communication system.
5. Any entity from a foreign country of risk that will have physical or remote access to any part of the equipment used on the project after delivery.

Click or tap here to enter text.

1. DOE has designated the following countries as foreign countries of risk: Iran, North Korea, Russia, China and Belarus. This list is subject to change. [↑](#footnote-ref-2)